

Express Mail Label No.: EV 187573775 US

Attorney Docket Number: 3568.1

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In the United States Patent and Trademark Office

Patent Application

AUTOMATED HIGH-THROUGHPUT MICROARRAY SYSTEM

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Automated High-Throughput Microarray System

Related Applications

This application claims priority to U.S. Provisional Application Serial Number 60/433,185, filed on December 13, 2002, and is related to U.S. Patent Application Serial
5 Number 10/454,355, filed on June 3, 2003. All cited applications are incorporated herein by reference.

Background of the Invention

DNA microarrays have helped to reshape and expand the fields of genetics and molecular biology. Their uses span the spectrum of applications from academic research,
10 clinical / point-of-care diagnostics, pathogen detection and drug discovery. These applications define a diverse set of requirements for supporting technologies, including automated systems for processing hundreds of samples in parallel through rugged integrated devices compatible with field testing.

Summary of the Invention

15 In one aspect of the invention, an automated microarray processing system for fully automated processing of nucleic acid samples and hybridization is provided. As used herein, the term “fully automated” refers to a process with nil or minimal intervention. The system is capable of high throughput processing. As used herein, the
20 term high throughput processing refers to the capability of performing sample processing and hybridization at the rate of at least 96 microarrays in a 24 hour period.

In preferred embodiments, the system includes at least one computer comprising a central processing unit coupled with a memo for executing instructions to control the

transporter and liquid handling device. Typically, components of the system may also include separated or connected computer control using digital computers or embedded systems. The system is used to process at least two microarrays in parallel, typically at least 8, 96 microarrays. The system typically includes a microarray transporter and a
5 liquid handling system, a robot accessible refrigerator; a robot accessible hybridization oven (incubator); a plate sealer and a piercer.

The microarrays may constitute a part of a microarray plate to form the bottom of a microtiter plate. Alternatively, the microarrays may be floating in the wells of a microtiter plate for hybridization. In some other embodiments, the microarrays may be
10 attached to pegs. The system moves the microarrays by moving the pegs. The hybridization is conducted by immersing the microarrays in a hybridization plate. Similarly, scanning may be conducted by immersing the microarrays in an optically suitable scanning plate.

The microarrays can be gene expression arrays, SNP genotyping arrays,
15 resequencing arrays and tiling arrays, etc. The system is capable of performing at least sample preparation, hybridization and wash steps. In some preferred embodiments, the sample processing includes the steps from starting nucleic acid samples (for example, total RNA or mRNA samples) to labeled samples ready for hybridization.

In another aspect of the invention, a method for fully automated parallel
20 processing of a plurality of microarrays is provided. The method includes processing a plurality of nucleic acid samples to generate a labeled nucleic acid sample ready for hybridization with the microarrays; hybridizing each of the plurality of nucleic acid

samples to one of microarrays; and washing the microarrays to prepare the microarrays for scanning.

Processing steps may include performing cDNA synthesis reaction using the nucleic acid samples as templates; performing in vitro transcription using the cDNAs as
5 templates; and labeling reactions.

Brief Description of the Drawings

The accompanying drawings, which are incorporated in and form a part of this specification, illustrate embodiments of the invention and, together with the description,
10 serve to explain the principles of the invention:

Figure 1 is a photograph of an exemplary fully automated high throughput microarray system. The components of this system include a 96-well liquid handler equipped with vacuum manifold and thermal cycler, plate sealer, plate piercer, UV-Visible spectrophotometer, plate carousel, linear rail robotic manipulator, multi-plate
15 incubator and refrigerator, and bulk reagent dispenser.

Figure 2 is a photograph of an exemplary 96 well format microarray plate that contains 96 high density microarrays.

Figure 3 shows an exemplary configuration of an automated microarray processing system with various components.

20 Figure 4 is a photograph of an exemplary automated microarray processing system with an Abgene Sealer/Pierce, a Beckman ORCA transporter and a Molecular Devices Spectrophotometer.

Figure 5 is a photograph of the automated microarray processing system of Figure 4, showing the integration of a Cytomat® robot accessible refrigerator and a Cytomat® hybridization oven.

Figure 6 shows a software development/operation environment architecture.

5 Figure 7 shows one implementation of Primer Anneal.

Figure 8 shows one implementation of 1st Strand cDNA synthesis.

Figure 9 shows one implementation of 2nd Strand cDNA synthesis.

Figure 10 shows one implementation of adding T4 DNA polymerase.

10 Figure 11 shows one implementation of cDNA Clean-up & IVT (in vitro
transcription) Reaction.

Figure 12 shows one implementation of IVT Clean-up.

Figure 13 shows one implementation of 1st & 2nd Wash.

Figure 14 shows one implementation of Elution.

15 Figure 15 shows one implementation of Quantitation of nucleic acid including
transferring samples to an optical plate.

Figure 16 shows one implementation of a normalization step.

Figure 17 shows one implementation of fragmentation step.

Figure 18 shows one implementation of a step to prepare Hyb-Sample mix.

20 Figure 19 illustrates one experiment conducted to compare plate and single chip
cartridge hybridization.

Detailed Description of the Embodiments of the Invention

The present invention has many preferred embodiments and relies on many patents, applications and other references for details known to those of the art. Therefore, when a patent, application, or other reference is cited or repeated below, it should be
5 understood that it is incorporated by reference in its entirety for all purposes as well as for the proposition that is recited.

I. General

As used in this application, the singular form “a,” “an,” and “the” include plural references unless the context clearly dictates otherwise. For example, the term “an agent”
10 includes a plurality of agents, including mixtures thereof.

An individual is not limited to a human being but may also be other organisms including but not limited to mammals, plants, bacteria, or cells derived from any of the above.

Throughout this disclosure, various aspects of this invention can be presented in a
15 range format. It should be understood that the description in range format is merely for convenience and brevity and should not be construed as an inflexible limitation on the scope of the invention. Accordingly, the description of a range should be considered to have specifically disclosed all the possible subranges as well as individual numerical values within that range. For example, description of a range such as from 1 to 6 should
20 be considered to have specifically disclosed subranges such as from 1 to 3, from 1 to 4, from 1 to 5, from 2 to 4, from 2 to 6, from 3 to 6 etc., as well as individual numbers within that range, for example, 1, 2, 3, 4, 5, and 6. This applies regardless of the breadth of the range.

The practice of the present invention may employ, unless otherwise indicated, conventional techniques and descriptions of organic chemistry, polymer technology, molecular biology (including recombinant techniques), cell biology, biochemistry, and immunology, which are within the skill of the art. Such conventional techniques include
5 polymer array synthesis, hybridization, ligation, and detection of hybridization using a label. Specific illustrations of suitable techniques can be had by reference to the example herein below. However, other equivalent conventional procedures can, of course, also be used. Such conventional techniques and descriptions can be found in standard laboratory manuals such as Genome Analysis: A Laboratory Manual Series (Vols. I-IV), Using
10 Antibodies: A Laboratory Manual, Cells: A Laboratory Manual, PCR Primer: A Laboratory Manual, and Molecular Cloning: A Laboratory Manual (all from Cold Spring Harbor Laboratory Press), Stryer, L. (1995) Biochemistry (4th Ed.) Freeman, New York, Gait, "Oligonucleotide Synthesis: A Practical Approach" 1984, IRL Press, London, Nelson and Cox (2000), Lehninger, Principles of Biochemistry 3rd Ed., W.H. Freeman
15 Pub., New York, NY and Berg et al. (2002) Biochemistry, 5th Ed., W.H. Freeman Pub., New York, NY, all of which are herein incorporated in their entirety by reference for all purposes.

The present invention can employ solid substrates, including arrays in some preferred embodiments. Methods and techniques applicable to polymer (including
20 protein) array synthesis have been described in U.S.S.N 09/536,841, WO 00/58516, U.S. Patents Nos. 5,143,854, 5,242,974, 5,252,743, 5,324,633, 5,384,261, 5,405,783, 5,424,186, 5,451,683, 5,482,867, 5,491,074, 5,527,681, 5,550,215, 5,571,639, 5,578,832, 5,593,839, 5,599,695, 5,624,711, 5,631,734, 5,795,716, 5,831,070, 5,837,832, 5,856,101,

5,858,659, 5,936,324, 5,968,740, 5,974,164, 5,981,185, 5,981,956, 6,025,601, 6,033,860, 6,040,193, 6,090,555, 6,136,269, 6,269,846 and 6,428,752, in PCT Applications Nos. PCT/US99/00730 (International Publication Number WO 99/36760) and PCT/US01/04285, which are all incorporated herein by reference in their entirety for all
5 purposes.

Patents that describe synthesis techniques in specific embodiments include U.S. Patents Nos. 5,412,087, 6,147,205, 6,262,216, 6,310,189, 5,889,165, and 5,959,098. Nucleic acid arrays are described in many of the above patents, but the same techniques are applied to polypeptide arrays.

10 Nucleic acid arrays that are useful in the present invention include those that are commercially available from Affymetrix (Santa Clara, CA) under the brand name GeneChip®. Example arrays are shown on the Affymetrix website. The present invention also contemplates many uses for polymers attached to solid substrates. These uses include gene expression monitoring, profiling, library screening, genotyping and
15 diagnostics. Gene expression monitoring, and profiling methods can be shown in U.S. Patents Nos. 5,800,992, 6,013,449, 6,020,135, 6,033,860, 6,040,138, 6,177,248 and 6,309,822. Genotyping and uses therefore are shown in USSN 60/319,253, 10/013,598, and U.S. Patents Nos. 5,856,092, 6,300,063, 5,858,659, 6,284,460, 6,361,947, 6,368,799 and 6,333,179. Other uses are embodied in U.S. Patents Nos. 5,871,928, 5,902,723,
20 6,045,996, 5,541,061, and 6,197,506.

The present invention also contemplates sample preparation methods in certain preferred embodiments. Prior to or concurrent with genotyping, the genomic sample may be amplified by a variety of mechanisms, some of which may employ PCR. See, e.g.,

PCR Technology: Principles and Applications for DNA Amplification (Ed. H.A. Erlich, Freeman Press, NY, NY, 1992); PCR Protocols: A Guide to Methods and Applications (Eds. Innis, et al., Academic Press, San Diego, CA, 1990); Mattila et al., Nucleic Acids Res. 19, 4967 (1991); Eckert et al., PCR Methods and Applications 1, 17 (1991); PCR
 5 (Eds. McPherson et al., IRL Press, Oxford); and U.S. Patent Nos. 4,683,202, 4,683,195, 4,800,159 4,965,188, and 5,333,675, and each of which is incorporated herein by reference in their entireties for all purposes. The sample may be amplified on the array. See, for example, U.S Patent No 6,300,070 and U.S. patent application 09/513,300, which are incorporated herein by reference.

10 Other suitable amplification methods include the ligase chain reaction (LCR) (e.g., Wu and Wallace, Genomics 4, 560 (1989), Landegren et al., Science 241, 1077 (1988) and Barringer et al. Gene 89:117 (1990)), transcription amplification (Kwoh et al., Proc. Natl. Acad. Sci. USA 86, 1173 (1989) and WO88/10315), self sustained sequence replication (Guatelli et al., Proc. Nat. Acad. Sci. USA, 87, 1874 (1990) and
 15 WO90/06995), selective amplification of target polynucleotide sequences (U.S. Patent No 6,410,276), consensus sequence primed polymerase chain reaction (CP-PCR) (U.S. Patent No 4,437,975), arbitrarily primed polymerase chain reaction (AP-PCR) (U.S. Patent No 5,413,909, 5,861,245) and nucleic acid based sequence amplification (NABSA). (See, US patents nos. 5,409,818, 5,554,517, and 6,063,603, each of which is
 20 incorporated herein by reference). Other amplification methods that may be used are described in, U.S. Patent Nos. 5,242,794, 5,494,810, 4,988,617 and in USSN 09/854,317, each of which is incorporated herein by reference.

Additional methods of sample preparation and techniques for reducing the complexity of a nucleic sample are described in Dong et al., Genome Research 11, 1418 (2001), in U.S. Patent No 6,361,947, 6,391,592 and U.S. Patent application Nos. 09/916,135, 09/920,491, 09/910,292, and 10/013,598.

5 Methods for conducting polynucleotide hybridization assays have been well developed in the art. Hybridization assay procedures and conditions will vary depending on the application and are selected in accordance with the general binding methods known including those referred to in: Maniatis et al. Molecular Cloning: A Laboratory Manual (2nd Ed. Cold Spring Harbor, N.Y, 1989); Berger and Kimmel Methods in
10 Enzymology, Vol. 152, Guide to Molecular Cloning Techniques (Academic Press, Inc., San Diego, CA, 1987); Young and Davis, P.N.A.S, 80: 1194 (1983). Methods and apparatus for carrying out repeated and controlled hybridization reactions have been described in US patent 5,871,928, 5,874,219, 6,045,996 and 6,386,749, 6,391,623 each of which are incorporated herein by reference.

15 The present invention also contemplates signal detection of hybridization between ligands in certain preferred embodiments. See U.S. Pat. Nos. 5,143,854, 5,578,832; 5,631,734; 5,834,758; 5,936,324; 5,981,956; 6,025,601; 6,141,096; 6,185,030; 6,201,639; 6,218,803; and 6,225,625, in U.S. Patent application 60/364,731 and in PCT Application PCT/US99/06097 (published as WO99/47964), each of which also is hereby incorporated
20 by reference in its entirety for all purposes.

 Methods and apparatus for signal detection and processing of intensity data are disclosed in, for example, U.S. Patents Numbers 5,143,854, 5,547,839, 5,578,832, 5,631,734, 5,800,992, 5,834,758; 5,856,092, 5,902,723, 5,936,324, 5,981,956, 6,025,601,

6,090,555, 6,141,096, 6,185,030, 6,201,639; 6,218,803; and 6,225,625, in U.S. Patent application 60/364,731 and in PCT Application PCT/US99/06097 (published as WO99/47964), each of which also is hereby incorporated by reference in its entirety for all purposes.

5 The practice of the present invention may also employ conventional biology methods, software and systems. Computer software products of the invention typically include computer readable medium having computer-executable instructions for performing the logic steps of the method of the invention. Suitable computer readable medium include floppy disk, CD-ROM/DVD/DVD-ROM, hard-disk drive, flash
10 memory, ROM/RAM, magnetic tapes and etc. The computer executable instructions may be written in a suitable computer language or combination of several languages. Basic computational biology methods are described in, e.g. Setubal and Meidanis et al., Introduction to Computational Biology Methods (PWS Publishing Company, Boston, 1997); Salzberg, Searles, Kasif, (Ed.), Computational Methods in Molecular Biology,
15 (Elsevier, Amsterdam, 1998); Rashidi and Buehler, Bioinformatics Basics: Application in Biological Science and Medicine (CRC Press, London, 2000) and Ouelette and Bzevanis Bioinformatics: A Practical Guide for Analysis of Gene and Proteins (Wiley & Sons, Inc., 2nd ed., 2001).

 The present invention may also make use of various computer program products
20 and software for a variety of purposes, such as probe design, management of data, analysis, and instrument operation. See, U.S. Patent Nos. 5,593,839, 5,795,716, 5,733,729, 5,974,164, 6,066,454, 6,090,555, 6,185,561, 6,188,783, 6,223,127, 6,229,911 and 6,308,170.

Additionally, the present invention may have preferred embodiments that include methods for providing genetic information over networks such as the Internet as shown in U.S. Patent applications 10/063,559, 60/349,546, 60/376,003, 60/394,574, 60/403,381.

II. Glossary

5 The following terms are intended to have the following general meanings as used herein.

Nucleic acids according to the present invention may include any polymer or oligomer of pyrimidine and purine bases, preferably cytosine (C), thymine (T), and uracil (U), and adenine (A) and guanine (G), respectively. See Albert L. Lehninger, 10 PRINCIPLES OF BIOCHEMISTRY, at 793-800 (Worth Pub. 1982). Indeed, the present invention contemplates any deoxyribonucleotide, ribonucleotide or peptide nucleic acid component, and any chemical variants thereof, such as methylated, hydroxymethylated or glucosylated forms of these bases, and the like. The polymers or oligomers may be heterogeneous or homogeneous in composition, and may be isolated from naturally 15 occurring sources or may be artificially or synthetically produced. In addition, the nucleic acids may be deoxyribonucleic acid (DNA) or ribonucleic acid (RNA), or a mixture thereof, and may exist permanently or transitionally in single-stranded or double-stranded form, including homoduplex, heteroduplex, and hybrid states.

An "oligonucleotide" or "polynucleotide" is a nucleic acid ranging from at least 2, 20 preferable at least 8, and more preferably at least 20 nucleotides in length or a compound that specifically hybridizes to a polynucleotide. Polynucleotides of the present invention include sequences of deoxyribonucleic acid (DNA) or ribonucleic acid (RNA), which may be isolated from natural sources, recombinantly produced or artificially synthesized

and mimetics thereof. A further example of a polynucleotide of the present invention may be peptide nucleic acid (PNA) in which the constituent bases are joined by peptide bonds rather than phosphodiester linkage, as described in Nielsen et al., *Science* 254:1497-1500 (1991), Nielsen *Curr. Opin. Biotechnol.*, 10:71-75 (1999). The invention
5 also encompasses situations in which there is a nontraditional base pairing such as Hoogsteen base pairing which has been identified in certain tRNA molecules and postulated to exist in a triple helix. "Polynucleotide" and "oligonucleotide" are used interchangeably in this application.

An "array" is an intentionally created collection of molecules which can be
10 prepared either synthetically or biosynthetically. The molecules in the array can be identical or different from each other. The array can assume a variety of formats, e.g., libraries of soluble molecules; libraries of compounds tethered to resin beads, silica chips, or other solid supports.

Nucleic acid library or array is an intentionally created collection of nucleic acids
15 which can be prepared either synthetically or biosynthetically in a variety of different formats (e.g., libraries of soluble molecules; and libraries of oligonucleotides tethered to resin beads, silica chips, or other solid supports). Additionally, the term "array" is meant to include those libraries of nucleic acids which can be prepared by spotting nucleic acids of essentially any length (e.g., from 1 to about 1000 nucleotide monomers in length) onto
20 a substrate. The term "nucleic acid" as used herein refers to a polymeric form of nucleotides of any length, either ribonucleotides, deoxyribonucleotides or peptide nucleic acids (PNAs), that comprise purine and pyrimidine bases, or other natural, chemically or biochemically modified, non-natural, or derivatized nucleotide bases. The backbone of

the polynucleotide can comprise sugars and phosphate groups, as may typically be found in RNA or DNA, or modified or substituted sugar or phosphate groups. A polynucleotide may comprise modified nucleotides, such as methylated nucleotides and nucleotide analogs. The sequence of nucleotides may be interrupted by non-nucleotide components.

5 Thus the terms nucleoside, nucleotide, deoxynucleoside and deoxynucleotide generally include analogs such as those described herein. These analogs are those molecules having some structural features in common with a naturally occurring nucleoside or nucleotide such that when incorporated into a nucleic acid or oligonucleotide sequence, they allow hybridization with a naturally occurring nucleic acid sequence in solution.

10 Typically, these analogs are derived from naturally occurring nucleosides and nucleotides by replacing and/or modifying the base, the ribose or the phosphodiester moiety. The changes can be tailor made to stabilize or destabilize hybrid formation or enhance the specificity of hybridization with a complementary nucleic acid sequence as desired.

"Solid support", "support", and "substrate" are used interchangeably and refer to a

15 material or group of materials having a rigid or semi-rigid surface or surfaces. In many embodiments, at least one surface of the solid support will be substantially flat, although in some embodiments it may be desirable to physically separate synthesis regions for different compounds with, for example, wells, raised regions, pins, etched trenches, or the like. According to other embodiments, the solid support(s) will take the form of beads,

20 resins, gels, microspheres, or other geometric configurations.

Combinatorial Synthesis Strategy: A combinatorial synthesis strategy is an ordered strategy for parallel synthesis of diverse polymer sequences by sequential addition of reagents which may be represented by a reactant matrix and a switch matrix,

the product of which is a product matrix. A reactant matrix is a l column by m row matrix of the building blocks to be added. The switch matrix is all or a subset of the binary numbers, preferably ordered, between l and m arranged in columns. A "binary strategy" is one in which at least two successive steps illuminate a portion, often half, of a region of interest on the substrate. In a binary synthesis strategy, all possible compounds which can be formed from an ordered set of reactants are formed. In most preferred embodiments, binary synthesis refers to a synthesis strategy which also factors a previous addition step. For example, a strategy in which a switch matrix for a masking strategy halves regions that were previously illuminated, illuminating about half of the previously illuminated region and protecting the remaining half (while also protecting about half of previously protected regions and illuminating about half of previously protected regions). It will be recognized that binary rounds may be interspersed with non-binary rounds and that only a portion of a substrate may be subjected to a binary scheme. A combinatorial "masking" strategy is a synthesis which uses light or other spatially selective deprotecting or activating agents to remove protecting groups from materials for addition of other materials such as amino acids.

Monomer: refers to any member of the set of molecules that can be joined together to form an oligomer or polymer. The set of monomers useful in the present invention includes, but is not restricted to, for the example of (poly)peptide synthesis, the set of L-amino acids, D-amino acids, or synthetic amino acids. As used herein, "monomer" refers to any member of a basis set for synthesis of an oligomer. For example, dimers of L-amino acids form a basis set of 400 "monomers" for synthesis of polypeptides. Different basis sets of monomers may be used at successive steps in the

synthesis of a polymer. The term "monomer" also refers to a chemical subunit that can be combined with a different chemical subunit to form a compound larger than either subunit alone.

Biopolymer or biological polymer: is intended to mean repeating units of
5 biological or chemical moieties. Representative biopolymers include, but are not limited to, nucleic acids, oligonucleotides, amino acids, proteins, peptides, hormones, oligosaccharides, lipids, glycolipids, lipopolysaccharides, phospholipids, synthetic analogues of the foregoing, including, but not limited to, inverted nucleotides, peptide nucleic acids, Meta-DNA, and combinations of the above. "Biopolymer synthesis" is
10 intended to encompass the synthetic production, both organic and inorganic, of a biopolymer.

Related to a biopolymer is a "biomonomer" which is intended to mean a single unit of biopolymer, or a single unit which is not part of a biopolymer. Thus, for example, a nucleotide is a biomonomer within an oligonucleotide biopolymer, and an amino acid is
15 a biomonomer within a protein or peptide biopolymer; avidin, biotin, antibodies, antibody fragments, etc., for example, are also biomonomers. Initiation Biomonomer or "initiator biomonomer" is meant to indicate the first biomonomer which is covalently attached via reactive nucleophiles to the surface of the polymer, or the first biomonomer which is attached to a linker or spacer arm attached to the polymer, the linker or spacer arm being
20 attached to the polymer via reactive nucleophiles.

Complementary or substantially complementary: Refers to the hybridization or base pairing between nucleotides or nucleic acids, such as, for instance, between the two strands of a double stranded DNA molecule or between an oligonucleotide primer and a

primer binding site on a single stranded nucleic acid to be sequenced or amplified.

Complementary nucleotides are, generally, A and T (or A and U), or C and G. Two single stranded RNA or DNA molecules are said to be substantially complementary when the nucleotides of one strand, optimally aligned and compared and with appropriate

5 nucleotide insertions or deletions, pair with at least about 80% of the nucleotides of the other strand, usually at least about 90% to 95%, and more preferably from about 98 to 100%. Alternatively, substantial complementarity exists when an RNA or DNA strand will hybridize under selective hybridization conditions to its complement. Typically, selective hybridization will occur when there is at least about 65% complementary over a

10 stretch of at least 14 to 25 nucleotides, preferably at least about 75%, more preferably at least about 90% complementary. See, M. Kanehisa Nucleic Acids Res. 12:203 (1984), incorporated herein by reference.

The term "hybridization" refers to the process in which two single-stranded polynucleotides bind non-covalently to form a stable double-stranded polynucleotide.

15 The term "hybridization" may also refer to triple-stranded hybridization. The resulting (usually) double-stranded polynucleotide is a "hybrid." The proportion of the population of polynucleotides that forms stable hybrids is referred to herein as the "degree of hybridization".

Hybridization conditions will typically include salt concentrations of less than

20 about 1M, more usually less than about 500 mM and less than about 200 mM.

Hybridization temperatures can be as low as 5°C, but are typically greater than 22°C, more typically greater than about 30°C, and preferably in excess of about 37°C.

Hybridizations are usually performed under stringent conditions, i.e. conditions under

which a probe will hybridize to its target subsequence. Stringent conditions are sequence-dependent and are different in different circumstances. Longer fragments may require higher hybridization temperatures for specific hybridization. As other factors may affect the stringency of hybridization, including base composition and length of the complementary strands, presence of organic solvents and extent of base mismatching, the combination of parameters is more important than the absolute measure of any one alone. Generally, stringent conditions are selected to be about 5°C lower than the thermal melting point T_m for the specific sequence at a defined ionic strength and pH. The T_m is the temperature (under defined ionic strength, pH and nucleic acid composition) at which 50% of the probes complementary to the target sequence hybridize to the target sequence at equilibrium.

Typically, stringent conditions include salt concentration of at least 0.01 M to no more than 1 M Na ion concentration (or other salts) at a pH 7.0 to 8.3 and a temperature of at least 25°C. For example, conditions of 5X SSPE (750 mM NaCl, 50 mM NaPhosphate, 5 mM EDTA, pH 7.4) and a temperature of 25-30°C are suitable for allele-specific probe hybridizations. For stringent conditions, see for example, Sambrook, Fritsche and Maniatis. "Molecular Cloning A laboratory Manual" 2nd Ed. Cold Spring Harbor Press (1989) and Anderson "Nucleic Acid Hybridization" 1st Ed., BIOS Scientific Publishers Limited (1999), which are hereby incorporated by reference in its entirety for all purposes above.

Hybridization probes are nucleic acids (such as oligonucleotides) capable of binding in a base-specific manner to a complementary strand of nucleic acid. Such probes include peptide nucleic acids, as described in Nielsen et al., Science 254:1497-

1500 (1991), Nielsen Curr. Opin. Biotechnol., 10:71-75 (1999) and other nucleic acid analogs and nucleic acid mimetics. See US Patent No. 6,156,501 filed 4/3/96.

Hybridizing specifically to: refers to the binding, duplexing, or hybridizing of a molecule substantially to or only to a particular nucleotide sequence or sequences under
5 stringent conditions when that sequence is present in a complex mixture (e.g., total cellular) DNA or RNA.

Probe: A probe is a molecule that can be recognized by a particular target. In some embodiments, a probe can be surface immobilized. Examples of probes that can be investigated by this invention include, but are not restricted to, agonists and antagonists
10 for cell membrane receptors, toxins and venoms, viral epitopes, hormones (e.g., opioid peptides, steroids, etc.), hormone receptors, peptides, enzymes, enzyme substrates, cofactors, drugs, lectins, sugars, oligonucleotides, nucleic acids, oligosaccharides, proteins, and monoclonal antibodies.

Target: A molecule that has an affinity for a given probe. Targets may be
15 naturally-occurring or man-made molecules. Also, they can be employed in their unaltered state or as aggregates with other species. Targets may be attached, covalently or noncovalently, to a binding member, either directly or via a specific binding substance. Examples of targets which can be employed by this invention include, but are not restricted to, antibodies, cell membrane receptors, monoclonal antibodies and antisera
20 reactive with specific antigenic determinants (such as on viruses, cells or other materials), drugs, oligonucleotides, nucleic acids, peptides, cofactors, lectins, sugars, polysaccharides, cells, cellular membranes, and organelles. Targets are sometimes referred to in the art as anti-probes. As the term targets is used herein, no difference in

meaning is intended. A "Probe Target Pair" is formed when two macromolecules have combined through molecular recognition to form a complex.

Effective amount refers to an amount sufficient to induce a desired result.

mRNA or mRNA transcripts: as used herein, include, but not limited to pre-
5 mRNA transcript(s), transcript processing intermediates, mature mRNA(s) ready for translation and transcripts of the gene or genes, or nucleic acids derived from the mRNA transcript(s). Transcript processing may include splicing, editing and degradation. As used herein, a nucleic acid derived from an mRNA transcript refers to a nucleic acid for whose synthesis the mRNA transcript or a subsequence thereof has ultimately served as a
10 template. Thus, a cDNA reverse transcribed from an mRNA, a cRNA transcribed from that cDNA, a DNA amplified from the cDNA, an RNA transcribed from the amplified DNA, etc., are all derived from the mRNA transcript and detection of such derived products is indicative of the presence and/or abundance of the original transcript in a sample. Thus, mRNA derived samples include, but are not limited to, mRNA transcripts
15 of the gene or genes, cDNA reverse transcribed from the mRNA, cRNA transcribed from the cDNA, DNA amplified from the genes, RNA transcribed from amplified DNA, and the like.

A fragment, segment, or DNA segment refers to a portion of a larger DNA polynucleotide or DNA. A polynucleotide, for example, can be broken up, or fragmented
20 into, a plurality of segments. Various methods of fragmenting nucleic acid are well known in the art. These methods may be, for example, either chemical or physical in nature. Chemical fragmentation may include partial degradation with a DNase; partial depurination with acid; the use of restriction enzymes; intron-encoded endonucleases;

DNA-based cleavage methods, such as triplex and hybrid formation methods, that rely on the specific hybridization of a nucleic acid segment to localize a cleavage agent to a specific location in the nucleic acid molecule; or other enzymes or compounds which cleave DNA at known or unknown locations. Physical fragmentation methods may involve subjecting the DNA to a high shear rate. High shear rates may be produced, for example, by moving DNA through a chamber or channel with pits or spikes, or forcing the DNA sample through a restricted size flow passage, e.g., an aperture having a cross sectional dimension in the micron or submicron scale. Other physical methods include sonication and nebulization. Combinations of physical and chemical fragmentation methods may likewise be employed such as fragmentation by heat and ion-mediated hydrolysis. See for example, Sambrook et al., "Molecular Cloning: A Laboratory Manual," 3rd Ed. Cold Spring Harbor Laboratory Press, Cold Spring Harbor, New York (2001) ("Sambrook et al.") which is incorporated herein by reference for all purposes. These methods can be optimized to digest a nucleic acid into fragments of a selected size range. Useful size ranges may be from 100, 200, 400, 700 or 1000 to 500, 800, 1500, 2000, 4000 or 10,000 base pairs. However, larger size ranges such as 4000, 10,000 or 20,000 to 10,000, 20,000 or 500,000 base pairs may also be useful.

Polymorphism refers to the occurrence of two or more genetically determined alternative sequences or alleles in a population. A polymorphic marker or site is the locus at which divergence occurs. Preferred markers have at least two alleles, each occurring at frequency of greater than 1%, and more preferably greater than 10% or 20% of a selected population. A polymorphism may comprise one or more base changes, an insertion, a repeat, or a deletion. A polymorphic locus may be as small as one base pair.

Polymorphic markers include restriction fragment length polymorphisms, variable number of tandem repeats (VNTR's), hypervariable regions, minisatellites, dinucleotide repeats, trinucleotide repeats, tetranucleotide repeats, simple sequence repeats, and insertion elements such as Alu. The first identified allelic form is arbitrarily designated as the reference form and other allelic forms are designated as alternative or variant alleles. The allelic form occurring most frequently in a selected population is sometimes referred to as the wildtype form. Diploid organisms may be homozygous or heterozygous for allelic forms. A diallelic polymorphism has two forms. A triallelic polymorphism has three forms. Single nucleotide polymorphisms (SNPs) are included in polymorphisms.

Single nucleotide polymorphism (SNPs) are positions at which two alternative bases occur at appreciable frequency ($>1\%$) in the human population, and are the most common type of human genetic variation. The site is usually preceded by and followed by highly conserved sequences of the allele (e.g., sequences that vary in less than 1/100 or 1/1000 members of the populations). A single nucleotide polymorphism usually arises due to substitution of one nucleotide for another at the polymorphic site. A transition is the replacement of one purine by another purine or one pyrimidine by another pyrimidine. A transversion is the replacement of a purine by a pyrimidine or vice versa. Single nucleotide polymorphisms can also arise from a deletion of a nucleotide or an insertion of a nucleotide relative to a reference allele.

Genotyping refers to the determination of the genetic information an individual carries at one or more positions in the genome. For example, genotyping may comprise the determination of which allele or alleles an individual carries for a single SNP or the

determination of which allele or alleles an individual carries for a plurality of SNPs. A genotype may be the identity of the alleles present in an individual at one or more polymorphic sites.

III. Automated High-Throughput Microarray Systems

5 In one aspect of the invention, automated methods for concurrently processing multiple biological chip assays are provided. In some embodiments, most of the assay steps are performed automatically, and in preferred embodiments, multiple samples are processed in parallel. For example, an exemplary system (Figure 1) was used to process total RNA samples. The computer controlled system performed various assay steps
10 including cDNA synthesis, in vitro transcription (IVT), labeling, hybridization and washing without manual intervention.

In preferred embodiments, a biological chip plate (with multiple biological chips) is exposed to multiple samples, typically, at least 8, 12, 16, 32, 96 samples. In such preferred embodiments, the samples are partially or completely processed automatically.
15 The processing steps may include isolation, dilutions, concentration, purification, extraction, biochemical or chemical reactions. Various measurements, such as spectrophotometric measurements may also be conducted. A biological chip may contain nucleic acid, protein or other probes. In some preferred embodiments, the biological chips are high density oligonucleotide arrays.

20 Figure 2 shows two exemplary biological chip plates. The plates contain multiple wells, each of the wells contains one high density oligonucleotide probe array. Suitable biological chip plates are described in, for example, U.S. Patent Nos. 5,545,531 and 5,874,219. Various configurations of suitable biological chip plates are also described in

U.S. Patent applications 10/325,171, 10/428,626, 10/456,370, 60/469,301, 60/470,407, 60/470,831, 60/471,045, 60/471,046, 60/472,798, 60/484,401, 60/514,225, Attorney Docket 3569.1 (filed on December 11, 2003). All cited applications are incorporated herein by reference.

5 The microarrays may constitute a part of a microarray plate to form the bottom of a microtiter plate. Alternatively, the microarrays may be floating in the wells of microtiter plate for hybridization. In some other embodiments, the microarrays may be attached to pegs. The system moves the microarrays by moving the pegs. The hybridization is conducted by immersing the microarrays in a hybridization plate.

10 Similarly, scanning may be conducted by immersing the microarrays in an optically suitable scanning plate.

 The microarrays can be gene expression arrays, SNP genotyping arrays, resequencing arrays and tiling arrays, etc. The system is capable of at least performing sample preparation, hybridization and wash steps. In some preferred embodiments, the

15 sample processing including the steps from nucleic acid sample (for example, total RNA or mRNA samples) to labeled samples ready for hybridization.

 In another aspect of the invention, a method for fully automated parallel processing a plurality of microarrays is provided. The method include processing a plurality of nucleic acid samples to generate a label nucleic acid sample ready for

20 hybridization with the microarrays; hybridizing each of the plurality of nucleic acid samples to one of microarrays; and washing the microarrays to prepare the microarrays for scanning.

Processing steps may include performing cDNA synthesis reaction using the nucleic acid samples as templates; performing in vitro transcription using the cDNAs as templates; and labeling reactions.

Figure 3 shows an exemplary system for processing biological samples for hybridization with biological chip plates. The system includes a transporter/Fluidic handling device 301. Robotic transporters and fluidic handling devices are well known in the art and are commercially available from, e.g., Beckman Coulter, Inc. In one preferred embodiment, the transporter is a Beckman ORCA® robot. The liquid handling device can be a Biomek® FX Laboratory Automation Workstation.

The system may optionally include a robot accessible refrigerator 305 and a robot accessible incubator (hybridization oven with temperature control). Suitable refrigerators and incubators include the Cytomat® series from the Kendro (Asheville, NC. USA). The refrigerator may be used for storing biological plates, reagent plates, etc. The incubator can be used for hybridization.

The system may also optionally include a plate sealer 302 and seal piercer 303 for sealing plates and for removing seals. Suitable plate sealer and seal piercer may be obtained from various commercial suppliers, including Abgene (Epsom Surrey KT19 9AP UK). In some embodiments, the system may optionally include measurement devices such as a plate reader, a spectrophotometer, etc. A spectrophotometer is useful for analyzing the concentration of nucleic acids in a sample. Suitable biological plate compatible spectrophotometers include the SpectraMax series from Molecular Devices Corporation (Sunnyvale, CA). The system may optionally include a bar code reader or other plate identification mechanism.

After hybridization and washing, the biological plates may be scanned with an integrated CCD scanner or a separate CCD scanner. Suitable CCD scanners are commercially available from, for example, Axon Instruments, Inc. (Union City, CA).

Figure 4 shows the integration of an Abgene plate sealer and an Abgene plate pierce in a high throughput microarray processing system. Figure 4 shows the integration of a Cytomax refrigerator.

Computer control may be implemented using a centralized computer or distributed computing. While systems may be controlled in a computer, some or all the components may have embedded computer control units or their own computer control. One of ordinary skill in the art would appreciate that various configurations including networked computing may be implemented. Computer control of laboratory equipment is well known in the art and is described in various technical manuals from Beckman Coulter.

Figure 6 shows the architecture of one embodiment of the software development and operation environment used to control the high throughput microarray processing system. SILAS is an application-independent development environment for the construction of integrated laboratory automation systems. SAMI is a complete graphical method development tool for use with automated systems. They are interacting with the ORCA robot and FX liquid handling system.

In one example, the high throughput microarray system was used to process RNA samples for gene expression monitoring to illustrate the methods and systems of the invention. For genotyping, resequencing and tiling array sample processing and hybridization steps, various patent documents incorporated by reference have provided

detailed descriptions. In additions, the assays steps are described in various technical documents from the Affymetrix website, including the Mapping Assay Manual, CustomSeq™ Resequencing Array Protocol, all incorporated herein by reference.

5 In this example, the entire process, from total RNA sample to plate ready for scanning, was conducted without manual intervention to illustrate the automation process. The result of the high throughput processing was compared with manual sample processing and single cartridge hybridization. Manual sample processing was performed according to the Affymetrix Gene Expression Technical Manual.

10 In this example, the sample processing for high throughput included the following steps (the specifics of the operations for each steps are described in the figures):

- Primer anneal (Figure 7)
- 1st strand cDNA (Figure 8)
- 2nd strand cDNA (Figure 9)
- IVT reaction (Figures 10, 11, 12)
- 15 • 1st wash (Figure 13)
- 2nd wash (Figure 13)
- Elution (Figure 14)
- Quantitation (Figure 15)
- Normalization (Figure 16)
- 20 • Fragmentation (Figure 17)
- Hyb-mix (Figure 18)

The hybridization mixture was applied to the microarrays and the following steps were performed for the hybridization, wash and stain to prepare the microarrays for scanning.

- Hybridize at 45°C for 16 hrs
- 5 • Recover sample from array
- Low stringency wash
- High stringency wash
- 1st stain
- Low stringency wash
- 10 • 2nd stain
- Low stringency wash
- 3rd stain
- Low stringency wash
- Leave 100 ul
- 15 • Seal plate – Ready to scan

The methods and systems of this invention will find particular use wherever high through-put of samples is required. However, in some instances, the systems can be employed to reduce human error and to ensure high consistency of processing. In particular, this invention is useful in clinical settings or in drug discovery processes. The clinical setting requires performing the same test on many patient samples with consistent processes with minimal human intervention. The automated methods of this invention lend themselves to these uses when the test is one appropriately performed on biological

chips. For example, a DNA array can determine the particular strain of a pathogenic organism based on characteristic DNA sequences of the strain (the systems and methods of the invention can also be used to process samples for protein/peptide chips and other parallel assays.

5 It is to be understood that the description is intended to be illustrative and not restrictive. Many variations of the invention will be apparent to those of skill in the art upon reviewing the above description. The scope of the invention should be determined with reference to the appended claims, along with the full scope of equivalents to which such claims are entitled. All cited references, including patent and non-patent literature,
10 are incorporated herewith by reference in their entireties for all purposes.

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